

Supervision agreement for doctoral studies rer. nat., dr. Ing., Ph.D. rer. hum. biol.,

between

name, first name of the doctoral candidate

intended doctoral degree _____,

Adress _____

(hereinafter called the postgraduate candidate)

and

titel, Name, first name, of the supervisor

Universität zu Lübeck, Ratzeburger Allee 160, 23562 Lübeck,

Institute/Clinic

(hereinafter called supervisor)

and

der Universität zu Lübeck,

Center for Doctoral Studies Lübeck,

Ratzeburger Allee 160, 23562 Lübeck,

(hereinafter called CDSL).

Preamble

The purpose of this agreement is the regulation of the supervision of all scientific work related in acquisition of a doctoral degree. This includes also proceedings with external partners. The supervisory relationship between postgraduate candidates and supervisors is a particular relationship of trust that shall be transparent designed through this agreement. With this agreement, the mutual confidence and expectations of the postgraduate candidate and the supervisor is documented. This includes in particular the obligations onto the guidelines of the protection of good scientific practice at the UzL.

The admission of the graduation process will be regulated separately of this agreement by the doctoral degree regulations.

§ 1

Topic of the doctoral thesis & aimed doctoral degree

- (1) The postgraduate candidate intends a graduation under the supervision of the supervisor at the institute of _____ at UzL.
- (2) The candidates' aimed doctoral degree is _____. The degrees of Dr. med. rer. nat., dr. Ing., Ph.D. rer. hum. biol., dr. med. and dr. med. dent can be assigned though the UzL at this time.
- (3) The subject area in which the candidate wants to receive a doctoral degree _____.

§ 2

Duration of Supervision and graduation & financing during the graduation

- (1) The supervisory relationship starts on _____.
- (2) The graduation shall last for ___ years. The supervisor commits to support the postgraduate candidate in his adherence to the time limit of the graduation. He commits further to stick to agreements made in employment contracts regarding free time to enable the candidate's graduation.
- (3) The financing of the candidates' living allowance shall be as follows:

Start (DD.MM.YYYY)	End (DD.MM.YYYY)	Type of financing (scholarship, employment contract, other; if 'other' please explain)	external source (DFG, BMBF, foundation, sponsor etc.)	Status of financing (approved, checked)

In case of different types of financing, name them all.

For a financing from other sources, or from resources that are not administrated by the UzL, the candidate confirms that there will be no conflict of interest regarding the time frame of the graduation, despite the commitments the candidate takes within. In case of changes in the above fixed financial plan, the candidate commits to contact the supervisor in time.

- (4) The supervisory obligation in accordance with § 4 is independent from the funding period. There is no obligation for a further financing.

§ 3 Candidates' obligations and duties

(1) The doctoral thesis is meant to be an independently formulate scientific performance, which will lead to a substantial progress of the relevant art. This includes normally also the publication of the results in a way which is typically for the respective scientific field. Agreements on the use of confidential data (for example, patient data, security-relevant data, internal data of companies, etc.) are based on the statutory basis and should be regulated in writing if necessary. The doctoral student is responsible to ensure the needed contractual basis.

(2) The candidate commits to report on his/her progress to the supervisor at least annually.

(3) The candidate will pass the following courses of his/her doctoral phase:

1. Good scientific practice
2. Ethics in science

(4) For graduations within a structured post-graduation program a project description, a time and work plan, the individual curriculum and progress reports will be regulated in a separate appendix of this agreement, the research and qualification plan, which is then a component of this agreement.

(5) The candidate commits to comply with regulatory conditions (i.e. house rules, lab rules, and other applicable rules) of the university and possible cooperation partners involved in this project.

§ 4 Supervisors' obligations and duties

(1) The supervisor commits to meet with the candidate normally on a monthly basis to offer a scientific discussion about the progress of the work.

(2) The supervisor supports the scientific independence of the candidate (i.e. the participation on an (international) symposium or conference) and enables the participation in further training of the UzL. A claim for cost takeover is not associated with this.

(3) The commitment to supervise the doctoral studies of the candidate remains unchanged, even if the supervisor changes his/her employer.

(4) The supervisor will review of the submitted dissertation as soon as possible. Usually this time takes ___ weeks.

§ 5 Termination of Supervision Relationship

(1) The candidate can terminate the supervision at any time. The supervisor can terminate the supervision within the first 12 months. The termination requires a written statement.

(2) The cancellation of the supervisory relationship by the supervisor is only possible if the candidate violated these regulations above in spite of a written warning or if the in § 2.2 Sentence 1 stipulated time is exceeded by 50% independent of the supervisor and/or there is no expected submission in an appropriate period of time. The supervision can also be canceled if the supervisor cannot proceed this relationship for personal reasons. The cancellation requires a written statement.

(3) UzL can cancel the supervisory relationship if the candidate violates a guideline or rule of the UzL, disturbs the peace in the UzL sustainably or if the candidate injures the reputation of UzL seriously.

(4) The supervisory relationship ends with the successful dissertation of the doctoral project or if the graduation is definitively failed.

(5) The supervisory relationship ends as well with the death or the disability for service of the supervisor.

(6) If the supervision ends within the supervisors' reasons, the UzL will endeavour the supervision on application.

§ 6

Commitment to compliance with the principles for the securing of good scientific practice

The parties are aware of the fact that they are committed to comply with the UzL guidelines to secure the good scientific practice respectively in the valid version and will execute the doctoral thesis corresponding to that.

§ 7

Compatibility of family and science

(1) The compatibility of family and scientific work will be supported by UzL in particular. Therefore special incentives will be declared on demand.

(2) Contact person of the doctoral candidate is the equal opportunities representative of the UzL.

§ 8

Final clause

(1) Additional agreements, warranties and changes of this agreement require the written form to be valid.

(2) If a determination of this agreement gets invalid, the validity of the other provisions shall not be affected. Instead, in place of the ineffective stipulation a replacement stipulation that corresponds to the purpose of agreement or at least comes as close as possible to it, which the parties would have agreed upon to archive the same economic result if they had known that the stipulation was ineffective will apply.

(3) In case of conflict the parties commit to turn to the CDSL or the responsible competent ombudsman first.

Lübeck, [date]_____

Lübeck, [date]_____

Signature doctoral candidate

Signature supervisor

Lübeck, [date]_____

Lübeck, [date]_____

Signature University of Lübeck
Head of CDSL

Signature Budget-Holder

Attachments: possibly an agreement on transfer of rights and confidentiality as part of a promotion (A sample of this is to obtain the legal advisor of UZL) and / or the research and qualification - reduction plan with the constituents mentioned and / or the requirements for admission to the doctoral procedure.